

(Annexure – II)

Additional Information to be filled by FinTech Entity Authorization Applicant

| S. No. | Particulars | Comments / Remarks (for IFSCA use) |
|---|---|------------------------------------|
| General Information | | |
| 0 | Does the Applicant have a deployable solution/working product | |
| | | |
| 1 | Does the Applicant have a revenue making track record in at least one of the last three financial year from the proposed solution | |
| | | |
| Details about ongoing FinTech/ TechFin Activity (if any) | | |
| 2 | Is the Applicant's FinTech business already active in India or IFSC or overseas? If yes then please provide details. | |
| | | |
| 3 | Provide a brief description of the FinTech/ TechFin activities being undertaken by the Applicant and its core businesses including but not limited to: a) Accreditations/ achievements b) Revenue model of the Applicant c) Marketing details (market size, demand – supply and marketing strategy) d) Business Plan (as an attachment) including financial projections | |
| | | |
| Details about the Proposed Solution | | |

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|---|--|--|
| 4 | <p>Which of the following permissible area/activity has the Applicant applied for Direct Authorization at IFSC:</p> <p>a) FinTech b) TechFin</p> <p>(Pl refer to illustrative list of Allied activities under Annexure-I of the FinTech Framework)</p> | |
| 5 | <p>Provide a short summary of the proposed solution including but not limited to:</p> <p>a) Objective of the proposed FinTech / TechFin solution or the statement of purpose b) Key benefits to the users and markets c) Business Model, including asset deployment and sources of revenue d) Target users</p> | |
| 6 | <p>Summary of the technical solution (along with relevant documents) including but not limited to:</p> <p>a) Technical architecture including deployment diagram b) Technology stack for Front-end, Back-end, Middleware and database c) Usage of Artificial Intelligence, Machine Learning or Decentralized Ledger Technology / Blockchain if any d) VAPT report and the results from an Auditor who is empanelled by CERT-In or an equivalent nodal Agency tasked with performing Cyber Security related functions (for the International Jurisdiction) e) System Audit report</p> | |

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|---|---|--|
| | <p>f) Copies of ISO Certifications(s) like ISO 27001:2013/2015, etc. if any</p> <p>g) Details of any Intellectual Property Rights (whether applied/granted) with relevant documents</p> <p>h) Other relevant certifications / reports/ assessments if any</p> | |
| 7 | Awareness of similar offering in India or other countries. Details, if any | |
| 8 | Legal opinion sought on the proposed FinTech solution, if any | |
| 9 | Pitch Deck of the Applicant including the details of solution / product | |

