

Additional Information to be filled by Finance Company (FC)/ Finance Unit (FU) Applicant

S. No.	Particulars	Comments / Remarks (for IFSCA use)
General Information		
0	Application for registration in IFSC: a) Finance Company b) Finance Unit	
1	Mention the name of the proposed activity/ activities, indicating whether it is core/ non-core activity. a) Core Activity b) Non-Core Activity	
2	In case of FU, name and designation of senior official/key personnel of parent entity (at the Headquarters), who will be responsible for the operations.	
Supervisory arrangement in home country		
3	In case of FU proposing to carry out core activity from IFSC, details of supervisory arrangements to which the applicant entity is subject to including prudential norms as applicable in home country.	
Other information		
4	Whether the applicant entity has accepted public deposits (defined under Chapter 1 section 2, sub-section 1(j) of IFSCA Finance Company Regulations) in the past? If yes, please specify a) The provisions of law for acceptance of public deposit.	

	<p>b) Period and the quantum of public deposits outstanding as on the date of this application</p> <p>c) Whether it defaulted in the repayment of the public deposits, if yes the amount of default.</p>							
Additional information (to be submitted by Global/ Regional Corporate Treasury Centre applicants only)								
5	<p>Details of the Group Entities to be served:</p> <table border="1"> <thead> <tr> <th>S. No</th> <th>Name and Address of Group Entity</th> <th>Jurisdiction of Incorporation</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td></td> </tr> </tbody> </table>	S. No	Name and Address of Group Entity	Jurisdiction of Incorporation				
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A Declaration cum Undertaking by the Applicant that –								
6	<p>An undertaking by the applicant that it shall fulfill the owned fund requirement before entering into any permissible activity as mentioned in the IFSCA (Finance Company) Regulations, 2021, or within such timeline as may be prescribed by the Authority, whichever is earlier.</p>							
7	<p>Board Resolution stating that:</p> <p>a) The applicant has not accepted any public deposit (As defined in section 2(1)(j) under Chapter 1 of the IFSCA (Finance Company) Regulations, 2021), in the past / does not hold any public deposit as on date and will not accept the same in future without the prior approval of IFSCA.</p> <p>b) The Unincorporated body in the group where the directors / key persons/promoters hold substantial interest or otherwise has not accepted any public deposit in the past/ does not hold any public deposit as on date and will not accept the same in the future.</p>							
8	(To be submitted by Global/Regional Corporate Treasury Centre applicants only)							

	We shall notify the IFSCA immediately of additional group entity/ies to be served from IFSC, if any.	

